PTO/SB/17 (10-03)

Approved for use through 07/31/2006 - 00MB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

(\$)	860	.00

Complete if Known				
Application Number	09/819,252			
Filing Date	March 27, 2001			
First Named Inventor	Scott A. Waldman			
Examiner Name	Natalie A. Davis			
Art Unit	1642			
Attorney Docket No.	08321-0164 US			

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
Check Credit card Money Other None 3			3. ADDITIONAL FEES				
Order Order		Large Entity   Small Entity					
Deposit Account 50-0573	Code	(\$)	Code		Fee Description	Fee Paid	
Number			2051		Surcharge - late filing fee or oath		
Deposit Account Drinker Biddle & Reath LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Name The Director is authorized to: (check all that apply)		130	1053	130	Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812 2	2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee		1,840*	1805	1,840*	Requesting publication of SIR after		
to the above-identified deposit account.		110	2251	55	Examiner action  Extension for reply within first month		
FEE CALCULATION	1251 1252	420	2251		Extension for reply within second month		
1. BASIC FILING FEE		950	2252		Extension for reply within third month	475.00	
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid	1253 1254 1		2253	740	, ,		
Code (\$) Code (\$)		,			Extension for reply within fourth month		
1001 770 2001 385 Utility filing fee	1255 2		2255		Extension for reply within fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401		Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403	290	2403		Request for oral hearing	<u> </u>	
1005 160 2005 80 Provisional filing fee	1451 1 1452		1451	•	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0.00		110	2452		Petition to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2453		Petition to revive - unintentional		
Fee from		1,330	2501		Utility issue fee (or reissue)		
Total Claims Extra Claims below Fee Paid  Total Claims X = X	1502	480	2502		Design issue fee		
Independent 2** -	1503	640	2503		Plant issue fee		
Claims Multiple Dependent	1460 1807	130	1460		Petitions to the Commissioner		
		50	1807		Processing fee under 37 CFR 1.17(q)		
Large Entity   Small Entity   Fee Fee   Fee Fee   Fee Fee   Fee Description	1806	180	1806		Submission of Information Disclosure Stmt		
Code (\$) Code (\$)	8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be		
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)	385.00	
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802		Request for expedited examination of a design application		
and over original patent		ee (sn	ecifv1		or a design approaction		
SUBTOTAL (2) (\$) 0.00		Other fee (specify)  *Reduced by Basic Filing Fee Paid  SUBTOTAL (3) (\$) 860.00				00	
**or number previously paid, if greater; For Reissues, see above					30BTOTAL (3) ((4) 000.0		
SUBMITTED BY					(Complete (if applicable))		

30,480 Telephone (215) 988-33 Name (Print/Type) Daniel A. Monaco (Attorney/Agent Date October 2, 2003 Signature WARNING: Information on this form may become public. Credit card information should not

be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.



### PATENT ATTORNEY DOCKET NO: 08321-0164 US (Formerly TJU-2389)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of

Scott A. Waldman et al.

Group Art Unit:

Serial No.:

09/819,252

1642

Filed:

March 27, 2001

Examiner:

For:

COMPOSITIONS AND METHODS FOR IDENTIFYING AND TARGETING CANCER CELLS OF ALIMENTARY CANAL ORIGIN

Misook Yu

Confirmation No.:

2091

#### **COMMUNICATION**

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is a Request for Continued Examination (RCE) Transmittal, including a request to enter the Amendment filed August 19, 2002. The Amendment was filed under Rule 116 and not entered. Additionally, applicants submit herewith a courtesy copy of the *executed* Declaration of Dr. Scott Waldman Under 37 C.F.R. 1.132, which was filed on April 23, 2003, following the filing of a an unexecuted copy on April 4, 2003.

## CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

BY	am alabieki
DATE:	00/2/03

PHIP\363220\1 - 1 -

The Waldman Declaration references an appended Exhibit 3, which is a Pub Med Abstract of Eda et al., *J. Gastroenterol.* 38(1):14-22(2003). The complete text of the Eda et al. paper was filed on April 23, 2003. A further courtesy copy is enclosed herewith.

The claims are believed in condition for allowance. An early notice of allowance is respectfully requested.

Respectfully submitted,

SCOTT A. WALDMAN et al.

DANIEL A. MONACO
Registration No. 30,480
DRINKER BIDDLE & REATH LLP
One Logan Square
18<sup>th</sup> and Cherry Streets
Philadelphia, PA 19103-6996
(215) 988-3312 - Phone
(215) 988-2757 - Fax

Attorney for the Applicants